

FORM PTO-1350 (Modified)
(REV 11-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

215391USOPCT

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

09/926414

INTERNATIONAL APPLICATION NO.

PCT/EP00/03734

INTERNATIONAL FILING DATE

25 April 2000

PRIORITY DATE CLAIMED

29 April 1999

TITLE OF INVENTION

COMPOSITIONS FORMED OF POLYOLEFINS, PROCESS FOR THEIR PREPARATION AND USE OF THESE COMPOSITIONS

APPLICANT(S) FOR DO/EO/US

Marie-Paule COLLARD, et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☒ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/PEPA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 20 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
20. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
22. ☐ Certificate of Mailing by Express Mail
23. ☒ Other items or information:

Amended Sheets (Pages 17, 18 and 19)

Notice of Priority

Request for Consideration of Documents Cited in the International Search Report

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 097926414)	INTERNATIONAL APPLICATION NO. PCT/EP00/03734	ATTORNEY'S DOCKET NUMBER 215391US0PCT
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24. The following fees are submitted:				CALCULATIONS PTO USE ONLY	
BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :					
<input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1040.00					
<input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$890.00					
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$740.00					
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$710.00					
<input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00					
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$890.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)). <input type="checkbox"/> 20 <input type="checkbox"/> 30				\$0.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	16 - 20 =	0	x \$18.00	\$0.00	
Independent claims	3 - 3 =	0	x \$84.00	\$0.00	
Multiple Dependent Claims (check if applicable). <input type="checkbox"/>				\$0.00	
TOTAL OF ABOVE CALCULATIONS =				\$890.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27). The fees indicated above are reduced by 1/2.				\$0.00	
SUBTOTAL =				\$890.00	
Processing fee of \$130.00 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492 (f)). <input type="checkbox"/> 20 <input type="checkbox"/> 30 +				\$0.00	
TOTAL NATIONAL FEE =				\$890.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). <input type="checkbox"/>				\$0.00	
TOTAL FEES ENCLOSED =				\$890.00	
				Amount to be refunded	\$
				charged	\$

- a. ☒ A check in the amount of **\$890.00** to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. **15-0030**. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Telephone: (703)413-3000
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Registration No. 34,423



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SIGNATURE

Norman F. Oblon

NAME

24,618

REGISTRATION NUMBER

DATE

Oct, 29 0001

DOCKET NO. 215391US0PCT

IN RE APPLICATION OF: Marie-Paule COLLARD, et al.

SERIAL NO.: NEW U.S. PCT APPLICATION (based on PCT/EP00/03734)

FILED: HEREWITH

FOR: COMPOSITIONS FORMED OF POLYOLEFINS, PROCESS FOR THEIR PREPARATION
AND USE OF THESE COMPOSITIONS

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

Sir:

Transmitted herewith is an amendment in the above-identified application.

- ☒ No additional fee is required.
- ☐ Small entity status of this application under 37 C.F.R. §1.9 and §1.27 has been established by a verified statement previously submitted.
- ☐ Small entity status of this application under 37 C.F.R. §1.9 and §1.27 has been established by a verified statement submitted herewith.
- ☒ Additional documents filed herewith: PCT Transmittal Letter/Preliminary Amendment
Declaration/English translation of Specification/Request for Consideration
Notice of Priority/International Search Report/Check for \$890.00
Amended Sheets (Pages 17, 18 and 19)

The fee has been calculated as shown below.

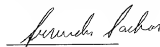
					OTHER THAN A			
(Col. 1)	(Col. 2)	(Col. 3)	SMALL ENTITY	SMALL ENTITY				
	CLAIMS REMAINING AFTER		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEE	RATE	ADDITIONAL FEE
TOTAL	* 16	MINUS	** 20	= 0	X9 =	\$	X18 =	\$.00
INDEP	* 3	MINUS	*** 3	= 0	X39 =	\$	X80 =	\$.00
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM					+130 =	\$	+270 =	\$
TOTAL						\$	TOTAL	\$.00

A check in the amount of \$_____ is attached.

☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

☒ If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136, and any additional fees required under 37 C.F.R. §1.136 for any necessary extension of time may be charged to deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.


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*If the entry in Column 2 is less than the entry in Column 1 write "0" in Column 3.

**If the "Highest Number Previously paid for" IN THIS SPACE is less than 20 write "20" in this space.

***If the "Highest Number Previously paid for" IN THIS SPACE is less than 3 write "3" in this space.

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IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF :
MARIE-PAULE COLLARD : ATTN: APPLICATION DIVISION
SERIAL NO: NEW U.S. PCT APPLN :
(Based on PCT/EP00/03734)
FILED: HERewith :
FOR: COMPOSITIONS FORMED :
OF POLYOLEFINS,
PROCESS FOR THEIR
PREPARATION AND USE OF
THESE COMPOSITIONS

PRELIMINARY AMENDMENT

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Prior to examination on the merits, please amend the above-identified application as follows:

IN THE CLAIMS

Please amend the claims as shown on the marked-up copy following this amendment to read as follows.

2. (Amended) Compositions according to Claim 1, wherein the amount of stabilizing agent is between 0.001 and 1% by weight.
3. (Amended) Compositions according to Claim 1, wherein at least one of the olefin polymers functionalized by at least one functionalization agent chosen from carboxylic acids,

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their esters, their anhydrides and their metal salts is an ethylene polymer functionalized by maleic anhydride.

4. (Amended) Compositions according to Claim 3, wherein the maleic anhydride is present in the functionalized ethylene polymer in an amount of 0.001 to 5% by weight.

5. (Amended) Compositions according to Claim 3, wherein the ethylene polymer functionalized by maleic anhydride exhibits a standard density of 915 to 960 kg/m³ and a melt flow index, measured at 190°C under a load of 5 kg, of 0.1 to 50 dg/min.

6. (Amended) Compositions according to Claim 1, wherein the composition is diluted in one or more nonfunctionalized olefin polymers.

8. (Amended) Process for the preparation of stabilized compositions comprising one or more functionalized olefin polymers and one or more stabilizing agents, wherein one or more olefin polymers, one or more functionalization agents, one or more radical initiators, one or more stabilizing agents comprising one or more sterically hindered phenol groups and at most one ester functional group from which at least one of the stabilizing agents is 1,3,5-trimethyl-2,4,6-tris(3,5-di-*t*-butyl-4-hydroxybenzyl)benzene, and optionally one or more additives, are melt blended in a screw extruder.

9. (Amended) Process according to Claim 8, wherein at least one of the olefin polymers introduced into the extruder is an ethylene polymer exhibiting a standard density of 915 to 960 kg/m³ and a melt flow index, measured at 190°C under a load of 5 kg, of 0.1 to 200 dg/min.

10. (Amended) Process according to Claim 8, wherein at least one of the functionalization agents introduced into the extruder is maleic anhydride.

11. (Amended) Process according to Claim 8, wherein the processing temperature lies between 120°C and 290°C.

REMARKS

Claims 1-16 are active in the present application. Claims 2-6 and 8-16 have been amended to remove multiple dependencies and for clarity. Support for the amendment is found in the original claims. No new matter is added. An action on the merits and allowance of claims is solicited.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
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Marked-Up Copy

Serial No:

Amendment Filed on:

10-29-01

IN THE CLAIMS

--2. (Amended) Compositions according to Claim 1, [characterized in that] wherein the amount of stabilizing agent is between 0.001 and 1% by weight.

3. (Amended) Compositions according to [any one of Claims 1 to 2, characterized in that] Claim 1, wherein at least one of the olefin polymers functionalized by at least one functionalization agent chosen from carboxylic acids, their esters, their anhydrides and their metal salts is an ethylene polymer functionalized by maleic anhydride.

4. (Amended) Compositions according to Claim 3 [or 4, characterized in that], wherein the maleic anhydride is present in the functionalized ethylene polymer in an amount of 0.001 to 5% by weight.

5. (Amended) Compositions according to Claim 3 [or 4, characterized in that], wherein the ethylene polymer functionalized by maleic anhydride exhibits a standard density of 915 to 960 kg/m³ and a melt flow index, measured at 190°C under a load of 5 kg, of 0.1 to 50 dg/min.

6. (Amended) Compositions according to [any one of Claims 1 to 5, characterized in that] Claim 1, wherein the composition is diluted in one or more nonfunctionalized olefin polymers.

8. (Amended) Process for the preparation of stabilized compositions comprising one or more functionalized olefin polymers and one or more stabilizing agents, [characterized in

that] wherein one or more olefin polymers, one or more functionalization agents, one or more radical initiators, one or more stabilizing agents comprising one or more sterically hindered phenol groups and at most one ester functional group from which at least one of the stabilizing agents is 1,3,5-trimethyl-2,4,6-tris(3,5-di-t-butyl-4-hydroxybenzyl)benzene, and optionally one or more additives, are melt blended in a screw extruder.

9. (Amended) Process according to Claim 8, [characterized in that] wherein at least one of the olefin polymers introduced into the extruder is an ethylene polymer exhibiting a standard density of 915 to 960 kg/m³ and a melt flow index, measured at 190°C under a load of 5 kg, of 0.1 to 200 dg/min.

10. (Amended) Process according to [any one of Claims 8 to 9, characterized in that] Claim 8, wherein at least one of the functionalization agents introduced into the extruder is maleic anhydride.

11. (Amended) Process according to [any one of Claims 8 to 10, characterized in that] Claim 8, wherein the processing temperature lies between 120°C and 290°C.

12. (Amended) Process according to [any one of Claims 8 to 11, characterized in that] Claim 8, wherein the stabilized composition is diluted in one or more nonfunctionalized olefin polymers.

13. (Amended) Use of compositions according to [any one of Claims 1 to 6 or obtained by a process according to any one of Claims 8 to 12] Claim 1 for compatibilizing olefin polymers with polymers, fillers and metal substrates which are incompatible with olefin polymers.

14. (Amended) Use according to Claim 13, [characterized in that] wherein the incompatible polymers are epoxy resins.

15. (Amended) Use according to Claim 13 [or 14] in multilayer adhesion.

16. (Amended) Use according to [any one of Claims 13 to 15] Claim 13 in the
multilayer coating of steel pipes.--

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- 1 -

Compositions formed of polyolefins, process for their
preparation and use of these compositions

5 The present invention relates to stabilized
compositions formed of functionalized polyolefins and
in particular stabilized compositions formed of poly-
olefins functionalized by carboxylic acid groups, their
esters, their anhydrides or their metal salts. It also
relates to a process for their preparation and to their
10 use.

Functionalized polyolefins are widely used, in
particular as adhesive between a polyethylene (PE)
layer and a layer of epoxy resin, for example for the
coating of pipes. In practice, all plastics are subject
15 to oxidation phenomena, commonly described using the
terminology "ageing phenomenon". The most widely used
method for slowing down these phenomena is the addition
of antioxidizing or stabilizing agents.

Phenolic antioxidants are used as stabilizing
20 agents for polyethylenes. However, their combination
with stabilizing agents of phosphite type is generally
more effective; see US 4,290,941. A frequently used
combination is, for example, a blend of pentaerythrityl
tetrakis(3,5-di-t-butyl-4-hydroxyphenylpropionate) and
25 of tris(2,4-di-t-butylphenyl) phosphite.

The problem which is posed in the case of
adhesives stabilized in this way, based on polyolefins
functionalized by acid or anhydride groups, for example
maleic-anhydride-functionalized polyethylene, is a
30 long-term loss in adhesion. Furthermore, these composi-
tions are subject to a loss in thermal stability and to
an increase in the viscosity in the presence of mois-
ture (loss in rheological stability), this phenomenon
further being accelerated by an increase in the
35 temperature.

The present invention is targeted at overcoming
these disadvantages by novel compositions formed of
functionalized polyolefins exhibiting better thermal

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stability and better rheological stability and giving better long-term adhesion.

One aspect of the present invention consequently relates to compositions comprising one or more
5 olefin polymers functionalized by at least one
functionalization agent chosen from carboxylic acids,
their esters, their anhydrides and their metal salts
and one or more stabilizing agents comprising one or
more sterically hindered phenol groups and at most one
10 ester functional group.

These functionalized compositions exhibit better rheological stability and give better long-term adhesion.

Furthermore, these compositions are no longer
15 subject to a loss in thermal stability nor to an
increase in the viscosity in the presence of moisture.

The stabilizing agents comprising one or more sterically hindered phenol groups and at most one ester functional group are chosen from stabilizing agents comprising one or more sterically hindered phenol groups which comprise an ester functional group and from stabilizing agents comprising one or more sterically hindered phenol groups which do not comprise an ester functional group.

25 The term "ester functional group" is understood to denote, for the purposes of the present invention, the ester functional group derived from the carboxylic acid functional group within the conventional meaning of organic chemistry (R-CO-O-R').

30 Mention may be made, among stabilizing agents comprising one or more sterically hindered phenol groups which comprise an ester functional group, of, for example, stearyl β -(3,5-di-*t*-butyl-4-hydroxyphenyl)propionate.

35 Mention may be made, among stabilizing agents comprising one or more sterically hindered phenol groups which do not comprise an ester group, of, for example, 1,1,3-tris(2-methyl-4-hydroxy-5-t-butylphenyl)butane, 2,2'-isobutylidenebis(4,6-dimethylphenol),

2,2'-methylenebis(6-t-butyl-4-methylphenol),
2,6-bis(α -methylbenzyl)-4-methylphenol, 4,4'-thiobis-
(6-t-butyl-m-cresol), 2,2'-methylenebis(4-methyl-
6-nonylphenol), diisobutyl-nonylphenol, tris(3,5-di-
5 t-butyl-4-hydroxybenzyl) isocyanurate, 1,3,5-tris-
(4-t-butyl-3-hydroxy-2,6-dimethylbenzyl)-1,3,5-tri-
azine-2,4,6-(1H,3H,5H)-trione, 1,3,5-trimethyl-2,4,6-
tris(3,5-di-t-butyl-4-hydroxybenzyl)benzene and their
mixtures.

- 10 Preferably, at least one of the stabilizing
agents does not comprise an ester functional group. In
a particularly preferred way, at least one of the
stabilizing agents is 1,3,5-trimethyl-2,4,6-tris-
(3,5-di-t-butyl-4-hydroxybenzyl)benzene (Irganox® 1330).
15 In a very particularly preferred way, the compositions
according to the invention comprise 1,3,5-trimethyl-
2,4,6-tris(3,5-di-t-butyl-4-hydroxybenzyl)benzene as
sole stabilizing agent.

- The amounts of stabilizing agent employed in
20 the compositions of the present invention depend on
various factors, for example on the amount of radical
initiator used, on the application intended for the
compositions and on the nature of the functionalization
agent. The amount of stabilizing agent is generally
25 between 0.001 and 1% by weight.

Generally, the amount of stabilizing agent is
greater than or equal to 0.001%, preferably greater
than or equal to 0.01%, in a particularly preferred way
greater than or equal to 0.1% by weight.

- 30 Generally, the amount of stabilizing agent is
less than or equal to 1%, preferably less than or equal
to 0.75%, in a particularly preferred way less than or
equal to 0.5% by weight.

- The olefin polymers functionalized by func-
35 tionalization agents chosen from carboxylic acids,
their esters, their anhydrides and their metal salts
can be obtained by known techniques, for example by
copolymerization or, preferably, by grafting. They are
derivatives of polymers formed of linear olefins

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generally does not exceed 200 dg/min, more particularly does not exceed 40 dg/min.

The functionalization agent is generally a compound comprising a vinyl unsaturation and optionally one or more aromatic nuclei and/or one or more carbonyl groups. The functionalization agent can be chosen, for example, from unsaturated mono- or dicarboxylic acids and their derivatives, unsaturated mono- or dicarboxylic acid anhydrides and their derivatives, unsaturated mono- or dicarboxylic acid esters and their derivatives or unsaturated mono- or dicarboxylic acid metal salts and their derivatives. The functionalization agents preferably comprise from 3 to 20 carbon atoms. Mention may be made, as typical examples, of acrylic acid, methacrylic acid, maleic acid, fumaric acid, itaconic acid, crotonic acid, citraconic acid, maleic anhydride, itaconic anhydride, crotonic anhydride, citraconic anhydride and their mixtures. Maleic anhydride is very particularly preferred.

In a particularly preferred way, in the compositions according to the invention, at least one of the olefin polymers functionalized by at least one functionalization agent chosen from carboxylic acids, their esters, their anhydrides and their metal salts is an ethylene polymer functionalized by maleic anhydride.

In a very particularly preferred way, in the compositions according to the invention, the only functionalized olefin polymer is an ethylene polymer functionalized by maleic anhydride.

The maleic anhydride is usually present in the functionalized ethylene polymer in an amount of 0.001 to 5% by weight, preferably of 0.01 to 3% by weight and in particular of 0.05 to 1% by weight.

The ethylene polymer functionalized with maleic anhydride advantageously exhibits a standard density of at least 915 kg/m³, in particular of at least 936 kg/m³. The standard density generally does not exceed 960 kg/m³, preferably does not exceed 953 kg/m³. In addition, it usually exhibits a melt flow index

(measured at 190°C under a load of 5 kg) of at least 0.1 dg/min, preferably of at least 2 dg/min. The melt flow index generally does not exceed 50 dg/min, more particularly does not exceed 22 dg/min.

5 The compositions according to the invention can, in addition, optionally comprise additives usual for polyolefins in an amount ranging up to 10% by weight, such as additional antioxidizing agents, lubricating agents, fillers, colorants, nucleating agents, 10 UV stabilizers, antiacid agents, such as calcium stearate, agents for modifying the crystallinity, such as a copolymer of ethylene and of n-butyl or ethyl acrylate, agents for deactivating metals or antistatic agents.

15 One embodiment of the present invention provides for the dilution of the abovedescribed compositions in one or more nonfunctionalized olefin polymers. In the case of nonfunctionalized olefin polymers, they are essentially the compounds mentioned 20 above or their mixtures. The composition can be diluted up to 20 times, that is to say by adding thereto up to 95% by weight of at least one nonfunctionalized olefin polymer, preferably up to 10 times, that is to say by adding thereto up to 90% by weight of at least one 25 nonfunctionalized olefin polymer, in a more than preferred way up to 5 times, that is to say by adding thereto up to 80% by weight of at least one nonfunctionalized olefin polymer.

 The compositions according to the invention can 30 be prepared by any process, such as, in particular, solution processes, the processes being carried out in a mixer, for example a Brabender® mixer, or the processes being carried out in an extruder. Good results are usually obtained if the compositions according to 35 the invention are prepared by means of the process according to the invention.

 The invention also relates to the use of one or more stabilizing agents comprising one or more sterically hindered phenol groups and at most one ester

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functional group in the stabilization of olefin polymers functionalized by at least one functionalization agent chosen from carboxylic acids, their esters, their anhydrides and their metal salts.

5 The stabilizing agents comprising one or more sterically hindered phenol groups and at most one ester functional group used in the stabilization are those identified hereinabove.

10 The olefin polymers which can be stabilized are those identified hereinabove.

15 The invention also relates to a process for the preparation of stabilized compositions comprising one or more functionalized olefin polymers and one or more stabilizing agents, according to which one or more olefin polymers, one or more functionalization agents, one or more radical initiators, one or more stabilizing agents comprising one or more sterically hindered phenol groups and at most one ester functional group, and optionally one or more additives, are melt blended
20 in a screw extruder.

 The stabilizing agent comprising one or more sterically hindered phenol groups and at most one ester functional group which can be introduced into the extruder are those identified hereinabove.

25 A preferred case is that where at least one of the stabilizing agents introduced into the extruder does not comprise an ester functional group. A particularly preferred case is that where at least one of the stabilizing agents introduced into the extruder
30 is 1,3,5-trimethyl-2,4,6-tris(3,5-di-t-butyl-4-hydroxybenzyl)benzene. A very particularly preferred case is that where the 1,3,5-trimethyl-2,4,6-tris(3,5-di-t-butyl-4-hydroxybenzyl)benzene is introduced into the extruder as sole stabilizing agent.

35 The stabilizing agents are usually employed in an amount of between 0.001 and 1% by weight, preferably between 0.01 and 0.75% by weight and in a particularly preferred way between 0.1 and 0.5% by weight.

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These olefin polymers are those identified hereinabove.

A preferred process is that in which at least one of the olefin polymers introduced into the extruder is an ethylene polymer exhibiting a standard density of 915 to 960 kg/m³ and a melt flow index, measured at 190°C under a load of 5 kg, of 0.1 to 200 dg/min.

The functionalization agents which can be introduced into the extruder are chosen from carboxylic acids, their esters, their anhydrides and their metal salts and are essentially those indicated above.

A preferred alternative form of the process is that where at least one of the functionalization agents introduced into the extruder is maleic anhydride.

15 A very particularly preferred alternative form
is that where the only functionalization agent intro-
duced into the extruder is maleic anhydride.

The functionalization agents can be introduced into the extruder either in the solid state or in the molten state. In the latter case, it is necessary to have available an introduction system maintained at a temperature greater than the melting temperature of the functionalization agent.

The functionalization agents are generally used in an amount of 0.001 to 20% by weight, preferably of 0.05 to 10% by weight and in particular of 0.01 to 5% by weight.

The grafting reaction in the process according to the invention takes place under the effect of a radical initiator. Organic peroxides are preferably used as radical initiator. Mention may be made, as typical examples, of t-butyl cumyl peroxide, 1,3-di(2-t-butylperoxyisopropyl)benzene, 3,5-bis(t-butylperoxy)-3,5-dimethyl-1,2-dioxolane, di-t-butyl peroxide, 2,5-dimethyl-2,5-di(t-butylperoxy)hexane, p-menthane hydroperoxide, pinane hydroperoxide, diisopropylbenzene mono- α -hydroperoxide, cumene hydroperoxide, t-butyl hydroperoxide and their mixtures. The preferred radical initiator is 2,5-dimethyl-2,5-di-(t-butylperoxy)hexane.

5 The radical initiator is generally employed in the process according to the invention in an amount sufficient to allow the grafting to be carried out. The amount is usually between 0.0001% and 1%, preferably between 0.001 and 0.5%, in a particularly preferred way between 0.01 and 0.1% by weight.

10 The temperature by which the process is carried out is generally greater than the melting temperature and lower than the decomposition temperature of the polyolefin and of the functionalized polyolefin, if possible optionally at an optimum temperature for the radical initiator. The process according to the invention generally involves processing temperatures in the range from 120°C to 290°C, preferably in the range
15 from 140 to 250°C and, in a particularly preferred way, in the range from 160 to 220°C.

During the process, it is optionally possible to additionally introduce at any point, for example, up to 10% by weight of conventional additives for polyolefins chosen from those mentioned hereinabove.
20

The process can also provide for the dilution of the composition in one or more nonfunctionalized olefin polymers. The nonfunctionalized olefin polymers are essentially the compounds mentioned above or their mixtures. The composition can be diluted up to
25 20 times, that is to say by adding thereto up to 95% by weight of at least one nonfunctionalized olefin polymer, preferably up to 10 times, that is to say by adding thereto up to 90% by weight of at least one nonfunctionalized olefin polymer, in a more than
30 preferred way up to 5 times, that is to say by adding thereto up to 80% by weight of at least one nonfunctionalized olefin polymer.

The dilution of the composition can take place
35 continuously in the screw extruder in which the preparation of the stabilized composition takes place. It can also take place in a device separated from the said extruder, for example in a second extruder, optionally after granulation of the stabilized composition.

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The order of introduction of the reactants is generally not critical. The functionalization agent, the stabilizing agent and the radical initiator can be introduced at the same time or independently in any order and optionally portionwise. The stabilizing agent is preferably introduced after the functionalization agent and the radical initiator, in a particularly preferred way after the reaction region.

In a very particularly preferred way, the process for the preparation of stabilized compositions according to the invention comprises the following stages:

- a) feeding, under a nitrogen atmosphere, one or more olefin polymers and from 0.001 to 20% by weight of functionalization agent into a corotating twin-screw extruder equipped with a series of associated blending elements with skewed threads,
- b) feeding from 0.0001 to 1% by weight of a radical initiator, optionally diluted in one or more olefin polymers or optionally introduced by spraying,
- c) blending one or more molten olefin polymers, the functionalization agent and the radical initiator in the extruder prepared for a time sufficient to graft at least a portion of the functionalization agent onto the molten olefin polymer(s),
- d) feeding into the extruder from 0.001 to 1% by weight of stabilizing agent and optionally one or more other additives, optionally diluted in one or more olefin polymers,
- e) devolatilizing the volatile materials by a subsequent stage of devolatilization with hot dry air in a decompression region of the extruder,
- f) optionally diluting with nonfunctionalized olefin polymers,
- g) discharging the final composition.

A screw extruder within the meaning of the present invention comprises at least the following parts: a feed region, a reaction region and, at its outlet, a discharge region preceded by a compression

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region, the latter forcing the molten mass to pass through the discharge region.

In practice, all the stages can be carried out in a corotating or counterrotating single- or twin-screw extruder which generally comprises, in addition to the abovementioned regions, optionally one or more staged feed devices for the said introduction of the polyolefin or polyolefins, functionalization agents, radical initiator and/or stabilizing agent, one or more screw elements allowing the propagation of the material to be extruded, one or more heating regions allowing the constituents to be melted and one or more devolatilization regions. If appropriate, the composition can also be diluted in a region allowing the introduction of nonfunctionalized polyolefin via an appropriate feed device. In addition, the discharge region can be followed by a granulation device.

The process according to the invention is advantageously used for the preparation of the compositions according to the invention.

Finally, an additional aspect provides for the use of the compositions in accordance with the present invention for compatibilizing olefin polymers with polymers, fillers and metal substrates which are incompatible with olefin polymers.

Incompatible polymers are, for example, epoxy resins, fluorinated resins and particularly poly(vinylidene fluoride), polyamides and polyesters.

The compositions according to the invention are preferably used for compatibilizing olefin polymers with epoxy resins.

Incompatible fillers are, for example, natural fibres, such as flax, hemp, jute and cellulose, and glass fibres, glass, silica, talc, calcium carbonate and carbon black. The metal substrates are, for example, steel or aluminium.

Preferred uses of the compositions according to the invention are multilayer adhesion and the multilayer coating of steel pipes.

The following examples serve to illustrate the present invention without, however, limiting the scope thereof.

5 The meanings of the symbols employed, the units expressing the quantities mentioned and the methods for measuring these quantities are explained hereinbelow.

Standard density (SD) of the polyolefin expressed in kg/m^3 , measured according to ISO standard 1183 (1987).

10 The melt flow index of the polyolefin (MI_2) is determined at 190°C under a load of 5 kg, 8/2 mm die, according to ISO standard 1133 (1991).

15 The grafted content of MA is evaluated by IR spectroscopy. Two films are prepared per sample and analysed directly after pressing and then after degassing for 1 h at 120°C under vacuum in order to remove, if necessary, the residual free anhydride. The MA level, measured by IR, is expressed by the ratio of the absorbance of the carbonyl (1785 cm^{-1}) to the absorbance at 3610 cm^{-1} characteristic of PE. The acid level is expressed by the ratio of the absorbance at 1715 cm^{-1} to the absorbance at 3610 cm^{-1} . The values obtained are converted to % by weight of grafted maleic anhydride by making use of a relationship based on a titrimetric calibration. The free maleic anhydride is detected by the characteristic bands at 895, 840 and/or 700 cm^{-1} .

25 The thermal stability is determined by measuring the induction period under oxygen at 210°C according to ASTM standard D 3895 (1992).

30 The peel strength was evaluated on $5 \times 15\text{ cm}$ three-layer plates. $5 \times 15 \times 3\text{ mm}$ metal plates are sandblasted for at most 24 h before coating. An approximately $100\text{ }\mu\text{m}$ layer of epoxy primer is applied at 200°C by electrostatic powdering. The plate is placed in a mould base preheated to 200°C . A film of the adhesive composition of the examples hereinbelow with a thickness of approximately $250\text{ }\mu\text{m}$ is applied and then an Eltex® GTB 201 PE plate with a thickness of

approximately 3 mm. The peel strength is then measured according to DIN standard 30670 (1991).

In the examples which follow, the base resin is the Eltex® A 4090 P HDPE polyethylene resin with an MI, of 29 dg/min and a standard density of 952 kg/m³. The maleic anhydride (MA) is milled. The peroxide is 2,5-dimethyl-2,5-di(t-butylperoxy)hexane (DBPH-Peroxyd Chemie). After grafting, the products are dried in an oven at 80°C under vacuum for 16 h in order to remove the free MA.

Example 1

A sample of Eltex® A 4090 P polyethylene functionalized with maleic anhydride in the absence of any stabilizing agent, which comprises 1% of grafted maleic anhydride and which exhibits a melt flow index of 6.6 dg/min before and after hydrolysis, is mixed on a Brabender® mixer at 180°C, 50 rotations per minute, for 6 min in the presence of 3 g/kg of the stabilizing agent 1,3,5-trimethyl-2,4,6-tris(3,5-di-t-butyl-4-hydroxybenzyl)benzene (stabilizing agent A).

Half of the sample is hydrolysed in the presence of steam for 7 days at 100°C and then dried under vacuum overnight at 85°C.

Measurements of the melt flow index and of the thermal stability were carried out on the samples as such or on the samples after hydrolysis. The results of these measurements are summarized in Table I.

Example 2 (comparative)

The procedure is the same as in Example 1 except that the stabilizing agent employed is the stabilizing agent pentaerythrityl tetrakis(3,5-di-t-butyl-4-hydroxyphenylpropionate) (stabilizing agent B).

The results of the measurements of melt flow index and of thermal stability are summarized in Table I.

Table I

Composition	MI, without hydrolysis, dg/min	MI, after hydrolysis, dg/min	Thermal stability without hydrolysis, min	Thermal stability after hydrolysis, min
Example 1	7.1	6.4	18.8	15.4
Example 2 (comparative)	7.2	0.1	18	0

It is apparent from the analysis of the results that the compositions according to the invention exhibit a constant long-term melt flow index and thermal stability.

Example 3

A mixture of linear high density polyethylene (HDPE), sold under the tradename Eltex® A 4090 P, and of maleic anhydride in the solid state, at a content of 0.4% by weight, is fed to a Krupp Werner & Pfleiderer ZSK58 corotating twin-screw extruder.

The extruder is arranged so that it successively comprises the following regions:

- (1) Main feed region
- (2) Heating region provided with a feed orifice
- (3) Reaction region provided with a feed orifice
- (4) Degassing region for discharging the volatile materials
- (5) Compression region
- (6) Discharge region.

The HDPE, blended with the maleic anhydride, is fed to the main feed region under a stream of nitrogen. The peroxide is fed to the main hopper in the feed region at a content of 0.045% by weight in the form of a masterbatch with the HDPE. The stabilizing agent 1,3,5-trimethyl-2,4,6-tris(3,5-t-butyl-4-hydroxy-phenyl)benzene (stabilizing agent A) is added in the region 3 at a content of 0.3% by weight in an

HDPE/stabilizing agent masterbatch concentrated
10 fold.

The other operating conditions are as follows:

Temperature profile: 210°C

5 Throughput of 180 kg/h

Screw speed: 300 revolutions per minute.

The functionalized polyethylene comprises 0.5%
by weight of maleic anhydride and exhibits a melt flow
index MI₅ of 20 dg/min and a thermal stability of
10 20 min.

The peel strength was evaluated as described
hereinabove on the sample as such or on the sample aged
for 15 days at 80°C in water. The results of the peel
strengths, measured at 23°C and 80°C, are presented in
15 Table II hereinbelow.

Example 4 (comparative)

The procedure is carried out in the way des-
cribed in Example 3, except that the stabilizing agent
20 employed is the stabilizing agent pentaerythrityl
tetrakis(3,5-di-t-butyl-4-hydroxyphenylpropionate)
(stabilizing agent B), all the other conditions being
identical.

The results of the peel strengths, measured at
25 23°C and 80°C, are presented in Table II hereinbelow. -

Table II

Composition	Peel strength at 23°C (N/50 mm)		Peel strength at 80°C (N/50 mm)	
	23°C	23°C and aged for 15 d at 80°C in water	80°C	80°C and aged for 15 d at 80°C in water
Example 3	1150	1150	562	429
Example 4 (comparative)	1200	195	550	100

It may be observed from the analysis of these results that the compositions according to the invention give better long-term adhesion.

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CLAIMS

1. Compositions comprising one or more olefin polymers functionalized by at least one functionalization agent chosen from carboxylic acids, their esters, their anhydrides and their metal salts and one or more stabilizing agents comprising one or more sterically hindered phenol groups and at most one ester functional group from which at least one of the stabilizing agents is 1,3,5-trimethyl-2,4,6-tris(3,5-di-t-butyl-4-hydroxybenzyl)benzene.
2. Compositions according to Claim 1, characterized in that the amount of stabilizing agent is between 0.001 and 1% by weight.
3. Compositions according to any one of Claims 1 to 2, characterized in that at least one of the olefin polymers functionalized by at least one functionalization agent chosen from carboxylic acids, their esters, their anhydrides and their metal salts is an ethylene polymer functionalized by maleic anhydride.
4. Compositions according to Claim 3, characterized in that the maleic anhydride is present in the functionalized ethylene polymer in an amount of 0.001 to 5% by weight.
5. Compositions according to Claim 3 or 4, characterized in that the ethylene polymer functionalized by maleic anhydride exhibits a standard density of 915 to 960 kg/m³ and a melt flow index, measured at 190°C under a load of 5 kg, of 0.1 to 50 dg/min.
6. Compositions according to any one of Claims 1 to 5, characterized in that the composition is diluted in one or more nonfunctionalized olefin polymers.
7. Use of one or more stabilizing agents comprising one or more sterically hindered phenol groups and at most one ester functional group from which at least one of the stabilizing agents is 1,3,5-trimethyl-2,4,6-tris(3,5-di-t-butyl-4-hydroxybenzyl)benzene in the stabilization of olefin polymers functionalized by at least one functionalization agent chosen from

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carboxylic acids, their esters, their anhydrides and their metal salts.

8. Process for the preparation of stabilized compositions comprising one or more functionalized olefin polymers and one or more stabilizing agents, characterized in that one or more olefin polymers, one or more functionalization agents, one or more radical initiators, one or more stabilizing agents comprising one or more sterically hindered phenol groups and at most one ester functional group from which at least one of the stabilizing agents is 1,3,5-trimethyl-2,4,6-tris-(3,5-di-t-butyl-4-hydroxybenzyl)benzene, and optionally one or more additives, are melt blended in a screw extruder.

9. Process according to Claim 8, characterized in that at least one of the olefin polymers introduced into the extruder is an ethylene polymer exhibiting a standard density of 915 to 960 kg/m³ and a melt flow index, measured at 190°C under a load of 5 kg, of 0.1 to 200 dg/min.

10. Process according to any one of Claims 8 to 9, characterized in that at least one of the functionalization agents introduced into the extruder is maleic anhydride.

11. Process according to any one of Claims 8 to 10, characterized in that the processing temperature lies between 120°C and 290°C.

12. Process according to any one of Claims 8 to 11, characterized in that the stabilized composition is diluted in one or more nonfunctionalized olefin polymers.

13. Use of compositions according to any one of Claims 1 to 6 or obtained by a process according to any one of Claims 8 to 12 for compatibilizing olefin polymers with polymers, fillers and metal substrates which are incompatible with olefin polymers.

14. Use according to Claim 13, characterized in that the incompatible polymers are epoxy resins.

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15. Use according to Claim 13 or 14 in multilayer adhesion.
16. Use according to any one of Claims 13 to 15 in the multilayer coating of steel pipes.

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Declaration and Power of Attorney For Patent Application Declaration Pour Demandes de Brevets Avec Pouvoirs

French Language Declaration

En tant qu'inventeur nommé ci-après, Je déclare par le présent acte que:

Mon nom, mon domicile, mon adresse postale, ma nationalité sont ceux qui figurent ci-après,

Je déclare que je crois être l'inventeur original, premier et unique (si un seul nom figure sur le présent acte) ou un des co-inventeurs, originaux et premiers (si plusieurs noms figurent sur le présent acte) du sujet revendiqué et pour lequel un brevet est demandé sur la base de l'invention intitulée:

"Compositions formed of polyolefins,

process for their preparation and use

of these compositions"

dont la description

(cocher la case correspondante)

☐ est annexée au présent acte.

☐ a été déposée

Numéro de série de la demande

et modifiée le

(si approprié)

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

the specification of which

(check one)

☐ is attached hereto.

☐ was filed on _____ as

Application Serial No. _____

and was amended on _____

(if applicable)

Je déclare par le présent acte avoir examiné et compris le contenu de la description identifiée ci-dessus, revendications y compris, et le cas échéant telle que modifiée par l'amendement cité plus haut.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

Je reconnais le devoir de divulguer l'information qui est en rapport avec l'examen de cette demande selon Titre 37 du Code des Règlements Fédéraux §1.56(a).

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

• French Language Declaration

Je revendique par le présent acte avoir la priorité étrangère, en vertu du Titre 35, § 119(a)-(d) ou § 365(b) du Code des Etats-Unis, sur toute demande étrangère de brevet ou certificat d'inventeur ou, en vertu du Titre 35, § 365(a) du même Code, sur toute demande internationale PCT désignant au moins un pays autre que les Etats-Unis et figurant ci-dessous et, en cochant la case, j'ai aussi indiqué ci-dessous toute demande étrangère de brevet, tout certificat d'inventeur ou toute demande internationale PCT ayant une date de dépôt précédant celle de la demande à propos de laquelle une priorité est revendiquée.

Prior foreign application(s)
Demande(s) de brevet antérieure(s) Belgium
09900310
(Number) (Country)
(Numéro) (Pays)
(Number) (Country)
(Numéro) (Pays)

Je revendique par le présent acte tout bénéfice, en vertu du Titre 35, § 119(e) du Code des Etats-Unis, de toute demande de brevet provisoire effectuée aux Etats-Unis et figurant ci-dessous.

(Application No.) (Filing Date)
(N° de demande) (Date de dépôt)
(Application No.) (Filing Date)
(N° de demande) (Date de dépôt)

Je revendique par le présent acte tout bénéfice, en vertu du Titre 35, § 120 du Code des Etats-Unis, de toute demande de brevet effectuée aux Etats-Unis, ou en vertu du Titre 35, § 365(c) du même Code, de toute demande internationale PCT désignant les Etats-Unis et figurant ci-dessous et, dans la mesure où l'objet de chacune des revendications de cette demande de brevet n'est pas divulgué dans la demande antérieure américaine ou internationale PCT, en vertu des dispositions du premier paragraphe du Titre 35, § 112 du Code des Etats-Unis, je reconnais devoir divulguer toute information pertinente à la brevetabilité, comme défini dans le Titre 37, § 1.56 du Code fédéral des réglementations, dont j'ai pu disposer entre la date de dépôt de la demande antérieure et la date de dépôt de la demande nationale ou internationale PCT de la présente demande.

PCT/EP00/03734 25/04/2000
(Application No.) (Filing Date)
(N° de demande) (Date de dépôt)
(Application No.) (Filing Date)
(N° de demande) (Date de dépôt)

Je déclare par le présent acte que toute déclaration ci-incluse est, à ma connaissance, véridique et que toute déclaration formulée à partir de renseignements ou de suppositions est faite pour véridique; et de plus, que toutes ces déclarations ont été formulées en sachant que toute fausse déclaration volontaire ou son équivalent est passible d'une amende ou d'une incarcération, ou des deux, en vertu de la Section 1001 du Titre 18 du Code des Etats-Unis, et que de telles déclarations volontairement fausses risquent de compromettre la validité de la demande de brevet ou du brevet délivré à partir de celle-ci.

I hereby claim foreign priority under Title 35, United States Code, § 119(a)-(d) or § 365 (b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below, by checking the box, and have also identified below any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Priority Not Claimed
Droit de priorité non revendiqué
29/04/1999
(Day/Month/Year Filed)
(Jour/Mois/Année de dépôt)
(Day/Month/Year Filed)
(Jour/Mois/Année de dépôt)

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below.

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

pending
(Status) (patented, pending, abandoned)
(Statut) (breveté, en cours d'examen, abandonné)
(Status) (patented, pending, abandoned)
(Statut) (breveté, en cours d'examen, abandonné)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

French Language Declaration

POUVOIR: En tant qu'inventeur, je désigne l'(les) avocat(s) et/ou l'(les) agent(s) suivant(s) pour poursuivre la procédure de cette demande et traiter toute affaire la concernant auprès du Bureau des Brevets et de Marques:

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name and registration number)

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French Language Declaration

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Nationalité		Citizenship	
Adresse Postale		Post Office Address	

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Domicile		Residence	
Nationalité		Citizenship	
Adresse Postale		Post Office Address	

(Fournir les mêmes renseignements et la signature de tout co-inventeur supplémentaire.)

(Supply similar information and signature for third and subsequent joint inventors.)